SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Estimated average burden hours per response:	0.5		
rities Exchange Act of 1934 company Act of 1940				

OMB APPROVAL

1. Name and Addree	ess of Reporting Per t <u>ha E.</u>	son*		Name and Ticker of Holdings Co	0,	bol		tionship of Reporting F all applicable) Director	Person(s) to Issue			
(Last)	(First)	(Middle)		f Earliest Transaction 022	on (Month/Day	/Year)		Officer (give title below)		(specify		
C/O GUILD HOLDINGS COMPANY 5887 COPLEY DRIVE				ndment, Date of Or	iginal Filed (Mo	onth/Day/Year)	6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street)								Form filed by More	than One Reporti	ng Person		
SAN DIEGO	CA	92111										
(City)	(State)	(Zip)										
		Table I - No	on-Derivative S	ecurities Acq	uired, Disp	osed of, or Benefic	ially Ow	ned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

						Code	V	Amount	(D)	Price	(Instr. 3 an	d 4)		
Class A Common Stock, par value \$0.01 per share 11/02/2022						Α		11,561(1)	A	\$8.65	44,94	42(2)	D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution Date,	4. Transaction	5. Number of Derivative	6. Date I Expiration			itle and Ar		8. Price of Derivative	9. Number of derivative	f 10. Ownership	11. Nature of Indirect

	Conversion Date or Exercise (Month/Day/ Price of Derivative Security	e (Month/Day/Year) if any (Month/Day/Y	Execution Date,	,		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			

Explanation of Responses:

Represents restricted stock units of the Issuer granted to Ms. Marcon, as a non-employee director. Such restricted stock units will vest, generally subject to continued service on the Board of Directors, on April 30, 2024.
Includes 11,628 restricted stock units each of which represent a contingent right to receive one share of the Issuer's Class A Common Stock. Such restricted stock units will vest, generally subject to continued service on the Board of Directors, on the date of the Issuer's 2023 annual shareholder meeting.

Remarks:

/s/ Lisa I. Klika, Attorney-in-Fact 11/03/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.